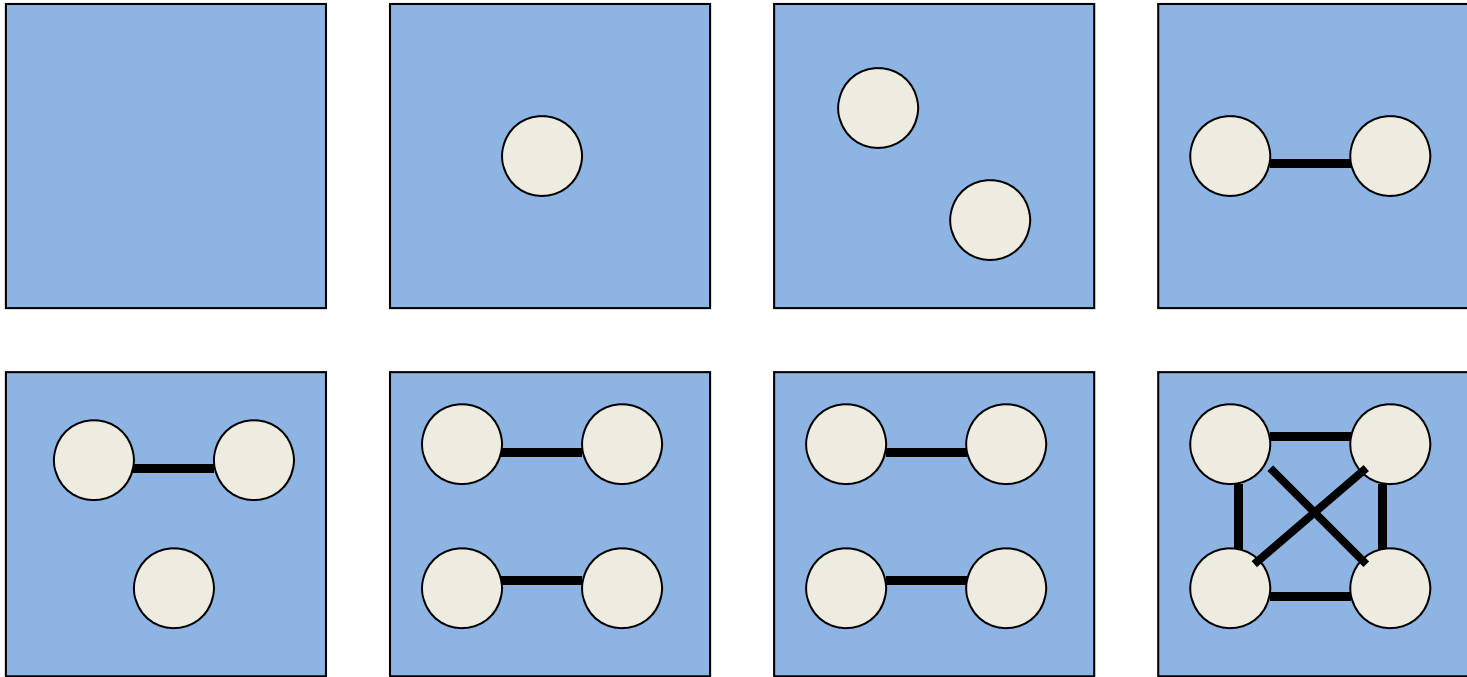


Undirected Graphs

- An **undirected graph** $G = (V, E)$
 - V a set of vertices
 - E a set of **unordered edges** (v,w) where v, w in V
- USE: to model **symmetric** relationships between entities
- vertices v and w are **adjacent** if there is an edge (v,w) **[or (w,v)]**
- the edge (v,w) is **incident** upon vertices v and w
- an edge may be (v,w,c) where c is a **cost component** **(e.g. distance)**

[Examples]



[Terminology]

PATH: a sequence of vertices v_1, v_2, \dots, v_n such that $(v_1, v_2), (v_2, v_3), \dots, (v_{n-1}, v_n)$ are edges

LENGTH: number of edges in a path
(v denotes a path length 0 from v to v)

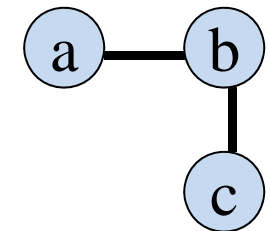
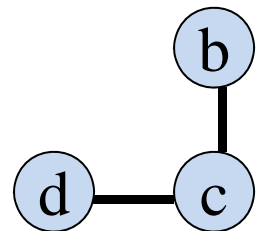
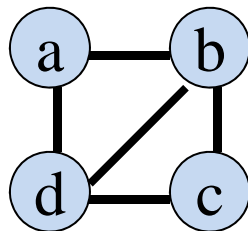
SIMPLE PATH: all vertices are distinct
(except possibly the first and the last)

SIMPLE CYCLE: a simple path of length 3 or more that
(undirected graph) connects a vertex to itself

[Sub-graph]

- $G = (V, E)$
- a **sub-graph** of G is a graph $G' = (V', E')$ where
 - V' is a subset of V
 - E' consists of edges (v,w) such that both v and w are in V'
- if E' consists of all edges (v,w) in E such that both v, w in V' then G' is an **INDUCED SUB-GRAPH** of G
- a **connected component** of a graph G is a maximal connected induced sub-graph that is not itself a proper sub-graph of any other connected sub-graph of G

[Sub-graph: example]



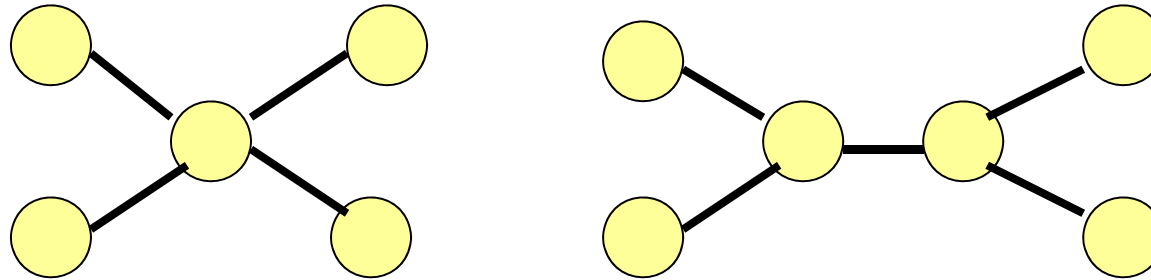
graph G

sub-graph G'

(an) induced sub-graph

One connected component - namely G itself

[An Unconnected Graph]



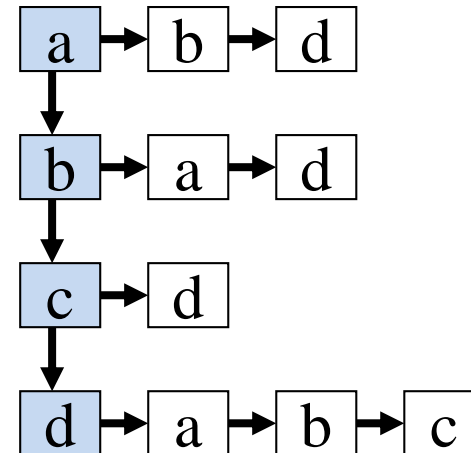
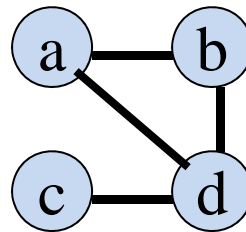
- **two connected components** (each a free tree)
- connected acyclic graph is a **FREE TREE**
 - every free tree with $n \geq 1$ vertices contains exactly $(n-1)$ edges
 - any edge added to a free tree gives a cycle

Graph Representation

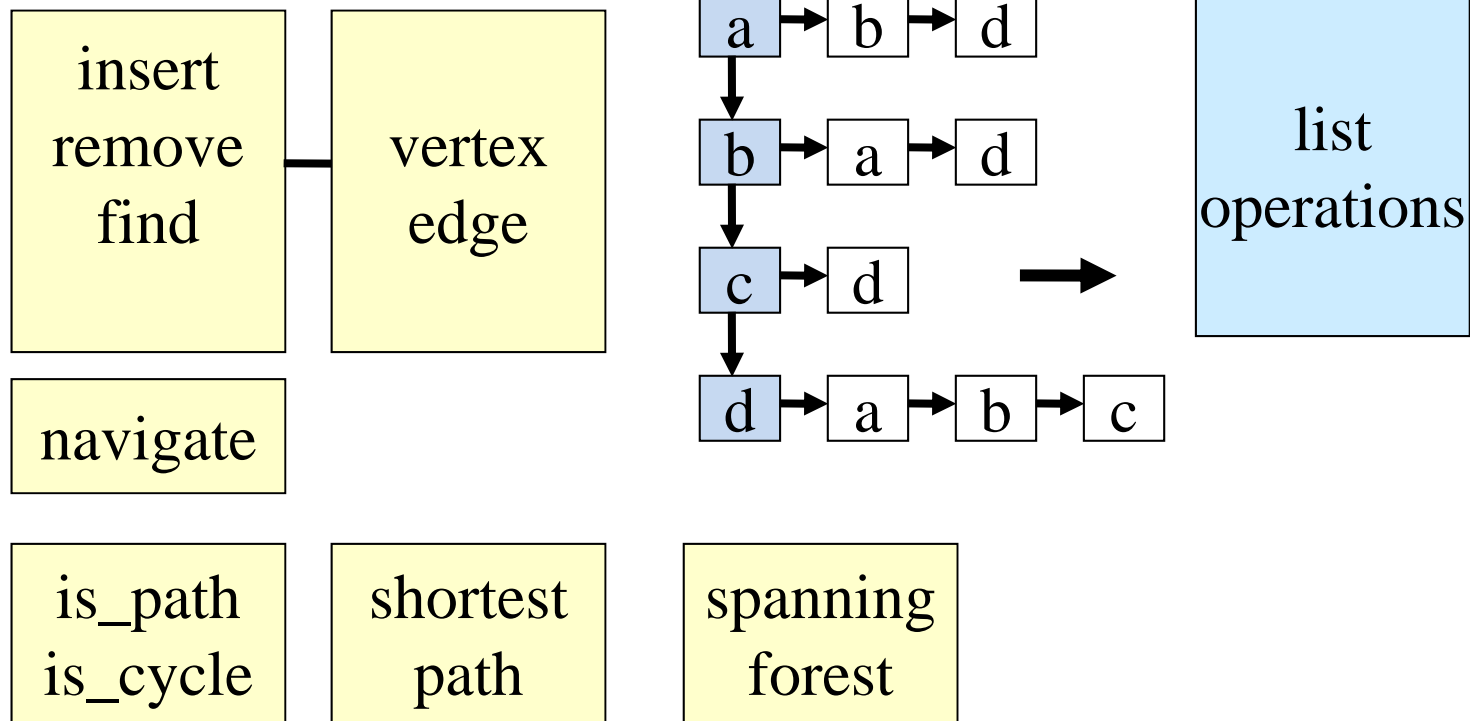
- Adjacency Matrix

| | a | b | c | d |
|---|---|---|---|---|
| a | 0 | 1 | 0 | 1 |
| b | 1 | 0 | 0 | 1 |
| c | 0 | 0 | 0 | 1 |
| d | 1 | 1 | 1 | 0 |

- Adjacency List

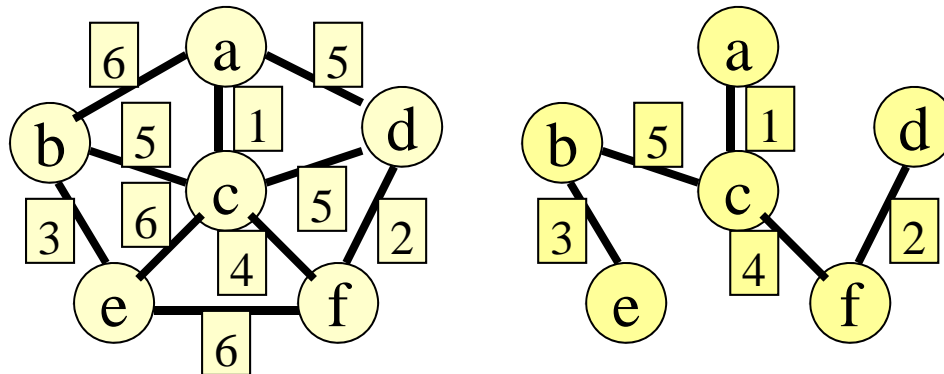


Operations



Minimum-cost Spanning Trees

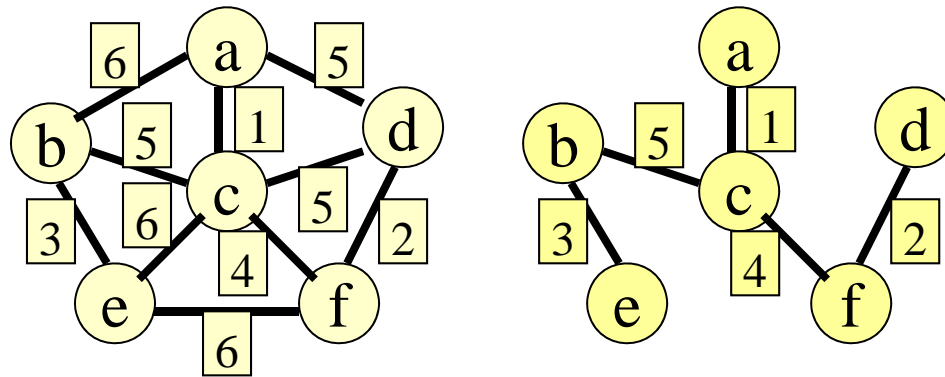
- $G = (V, E)$ where each edge (v, w) has an associated cost
- a **SPANNING TREE** for G is a **free tree** that connects all the vertices in G (**n nodes and $(n-1)$ edges; no cycles**)
- the **cost of the spanning tree** is the sum of the costs of the edges in the tree



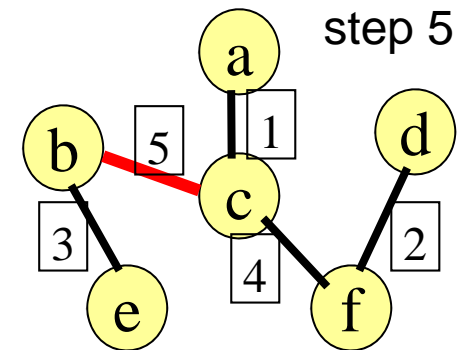
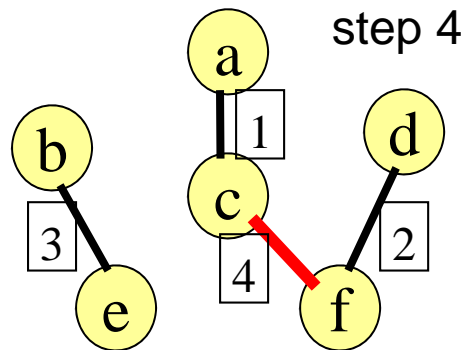
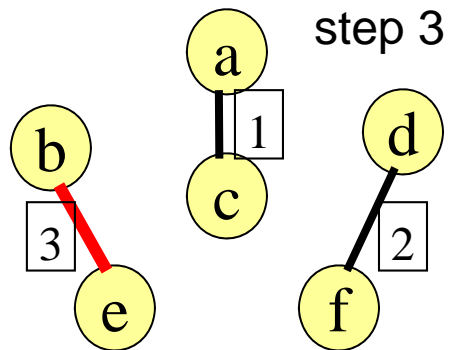
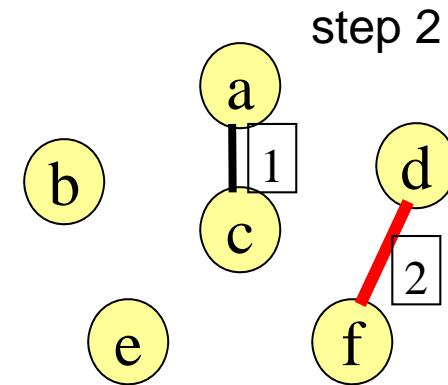
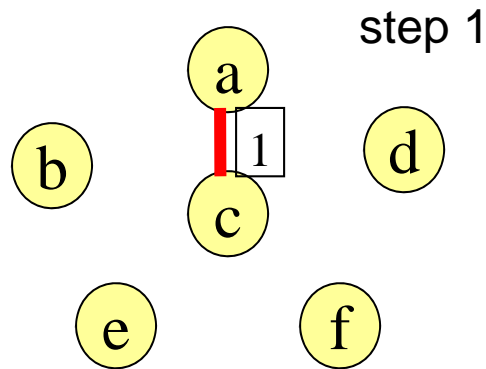
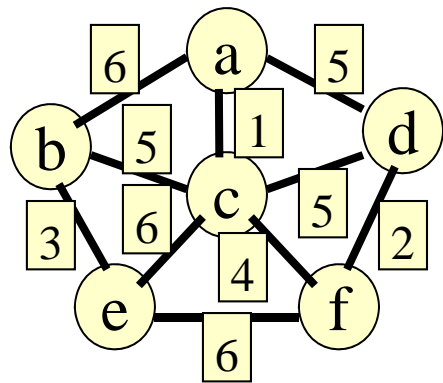
- **application areas:** communication networks (transport/computer)

[MST Property]

- $G = (V, E)$
 - a **connected graph** with a cost function on the edges
 - let U be a proper subset of V
 - if (u, v) is an edge of lowest cost such that
 - u in U and v in $V-U$ then there is a **MST** that includes (u, v) as an edge



Building an MST: creative guess §1



Kruskal's principles

- Build a priority queue (PQ) with the edges, shortest edges first
- Each node in the graph becomes a component
- Choose an edge from the PQ such that the edge connects 2 distinct components until there is only one component – this is the MST

[Kruskal's principles - example]

PQ: (a c 1), (d f 2), (b e 3), (c f 4), (a d 5),
(b c 5), (c d 5), (a b 6), (c e 6), (e f 6)

- Components: [a], [b], [c], [d], [e], [f] - 6 components
- (a c 1) → [a-c], [b], [d], [e], [f] - 5 components
 - (d f 2) → [a-c], [b], [d-f], [e] - 4 components
 - (b e 3) → [a-c], [b-e], [d, f] - 3 components
 - (c f 4) → [a-c, c-f, f-d], [b-e] - 2 components
 - (a d 5) → not chosen - a & d in same component
 - (b c 5) → [a-c, c-b, b-e, c-f, f-d] - 1 component (MST)

[MST – explanation (Kruskal)]

priority queue

a c 1

d f 2

b e 3

c f 4

~~a d 5~~

b c 5

~~e d 5~~

~~a b 6~~

~~e e 6~~

~~e f 6~~

Comments

- The edges are stored in a PQ (**lowest values first**)
- Each node becomes a component
- Each edge should connect 2 components
- NB: **a d 5** does not connect 2 components
 - a and d are in the same component (step 5 above)
 - adding **a d 5** would also create a cycle
 - An MST is a free tree and therefore has no cycles
- **b c 5** completes the MST
- An MST with n nodes has (n-1) edges
- An MST is a **Free Tree** (no cycles)

Kruskal's Algorithm (creative guess §1)

- One method of constructing an MST is **Kruskal's**
- start with a graph $T = (V, \alpha)$ i.e. only the vertices of $G = (V, E)$
- **each vertex is a connected component** (in the graph T)
- to construct the MST, T examine the edges in E in order of increasing cost (implementation - priority queue)
- **if the edge connects two vertices in two connected components then add the edge to T** (otherwise discard the edge)
- when all the edges are in one component, T is a MST for G

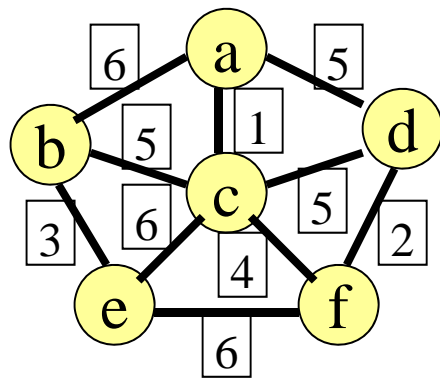
[Kruskal's Algorithm]

- S = set of connected components (V from $G=(V,E)$)
- **merge(A, B, S)** -- merge components A & B in S - rename A
- **find(v, S)** -- return name of component X in S : v in X
- **initial('A', v, S)** -- make A the name of component in S containing only vertex v initially
- **insert(e, S)** -- add a given edge to S
- **remove_pq()** -- remove an edge from the PQ
- **(x, y, c)** -- edge (x, y) in PQ with cost c

[Kruskal's Algorithm]

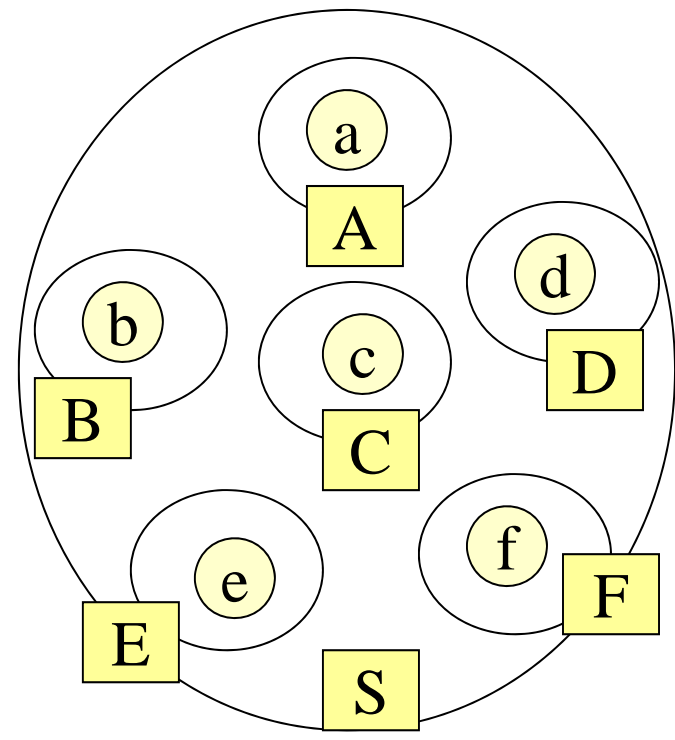
```
for each v in S initial ( next(name), v, S) -- initiliasse
while (size(S) > 1 {           -- size = number of components
    get_PQ ( );                -- get (x, y, c) from PQ
    if ( find(x, S) != find(y, S) ) { -- x, y in different components
        merge ( find (x, S), find (y, S), S );
        insert (get_PQ ( ), S);
    }
    remove_pq( );
}
```

[Kruskal: example]



| | | |
|----------|----------|----------|
| a | c | 1 |
| d | f | 2 |
| b | e | 3 |
| c | f | 4 |
| a | d | 5 |
| b | c | 5 |
| c | d | 5 |
| a | b | 6 |
| c | e | 6 |
| e | f | 6 |

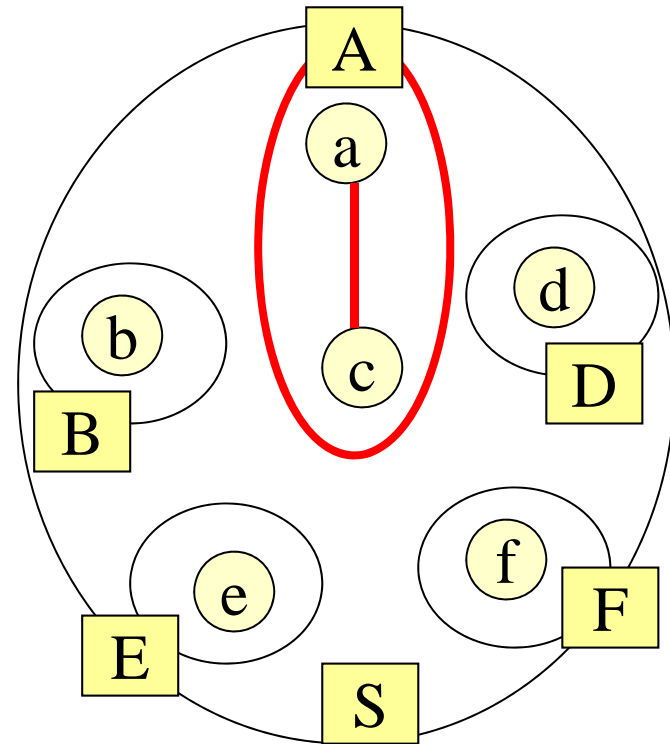
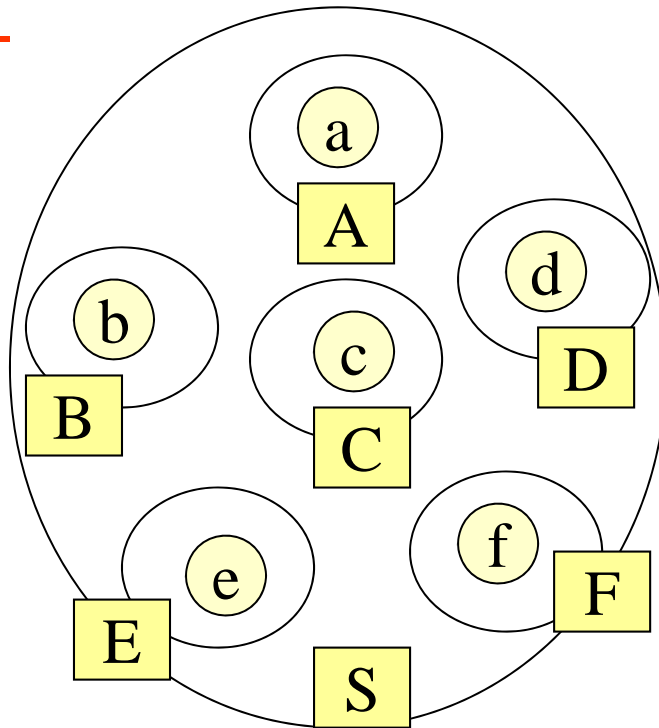
PQ



Kruskal: example

| | | |
|--------------|--------------|--------------|
| a | c | 1 |
| d | f | 2 |
| b | e | 3 |
| c | f | 4 |
| a | d | 5 |
| b | c | 5 |
| c | d | 5 |
| a | b | 6 |
| c | e | 6 |
| e | f | 6 |

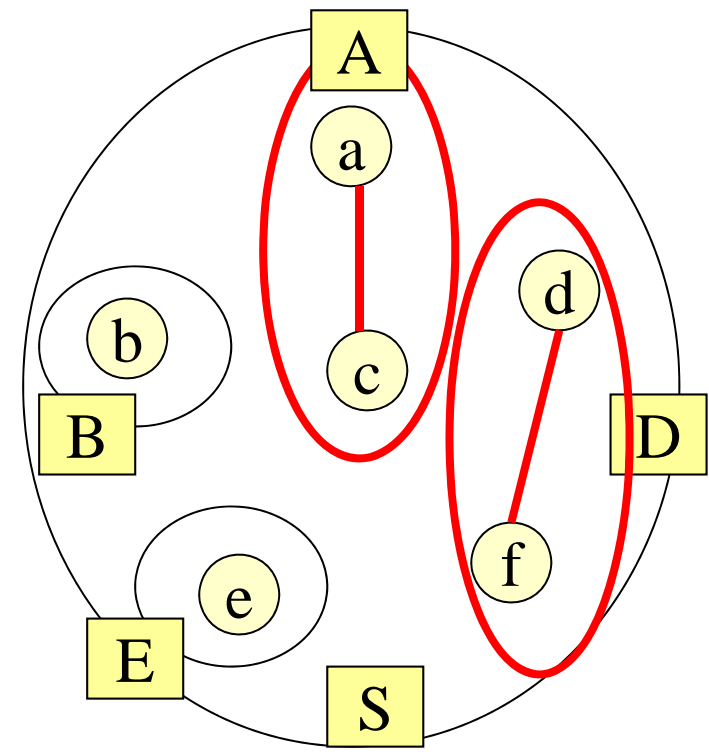
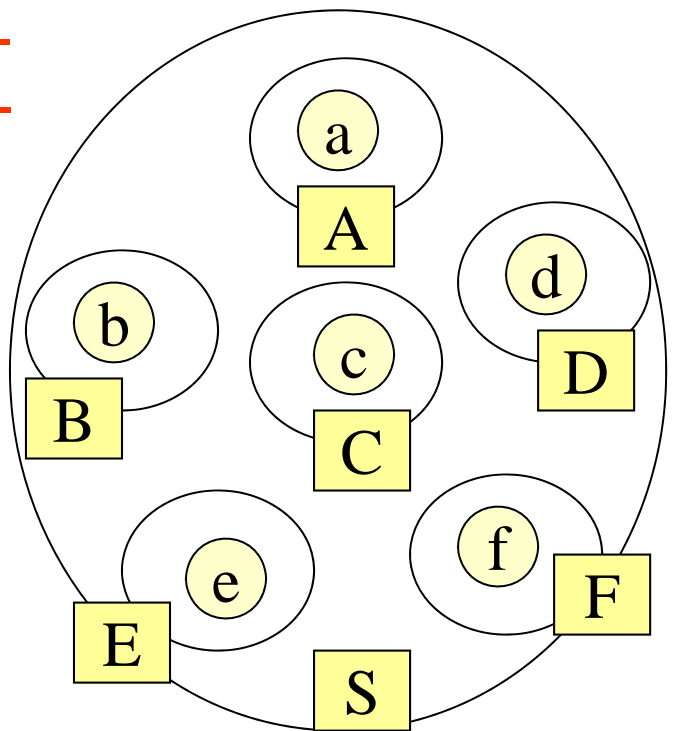
PQ



Kruskal: example

| | | |
|--------------|--------------|--------------|
| a | c | 1 |
| d | f | 2 |
| b | e | 3 |
| c | f | 4 |
| a | d | 5 |
| b | c | 5 |
| c | d | 5 |
| a | b | 6 |
| c | e | 6 |
| e | f | 6 |

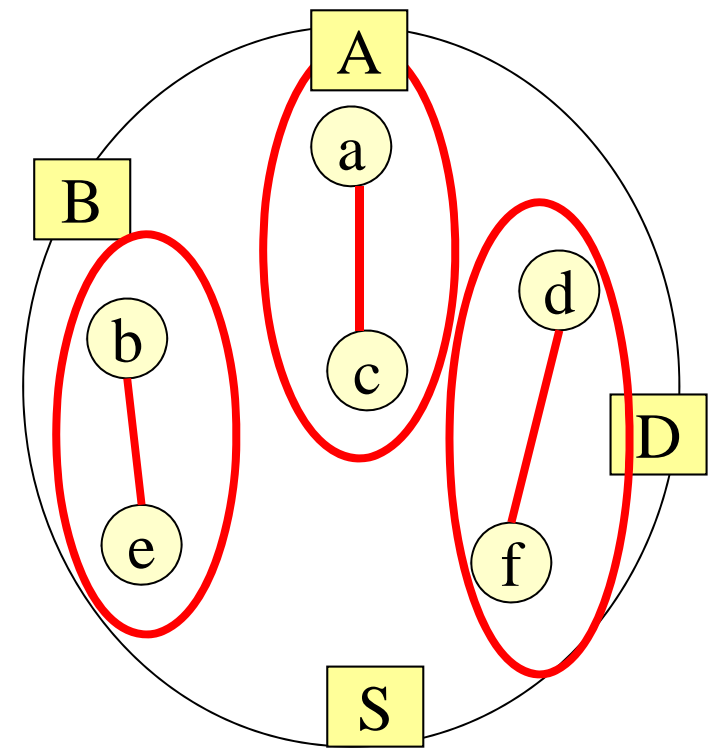
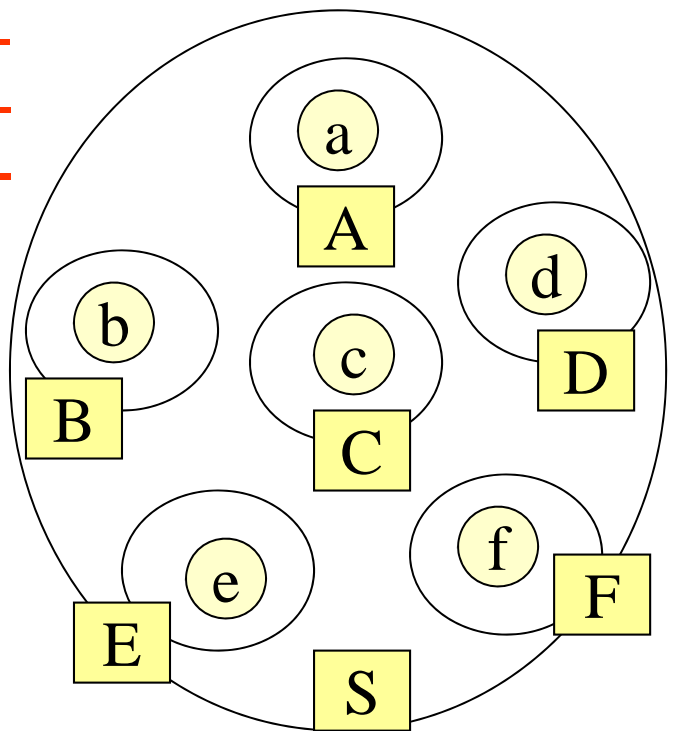
PQ



Kruskal: example

| | | |
|--------------|--------------|--------------|
| a | c | 1 |
| d | f | 2 |
| b | e | 3 |
| c | f | 4 |
| a | d | 5 |
| b | c | 5 |
| c | d | 5 |
| a | b | 6 |
| c | e | 6 |
| e | f | 6 |

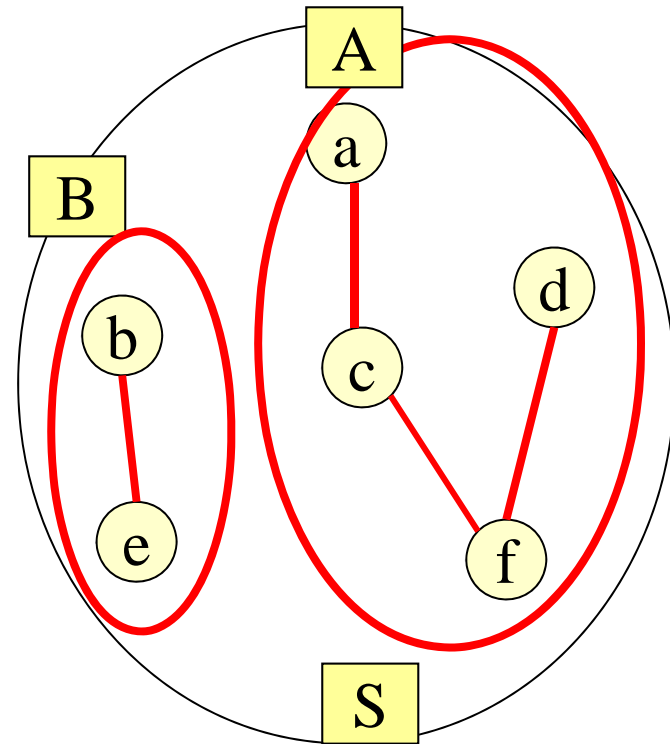
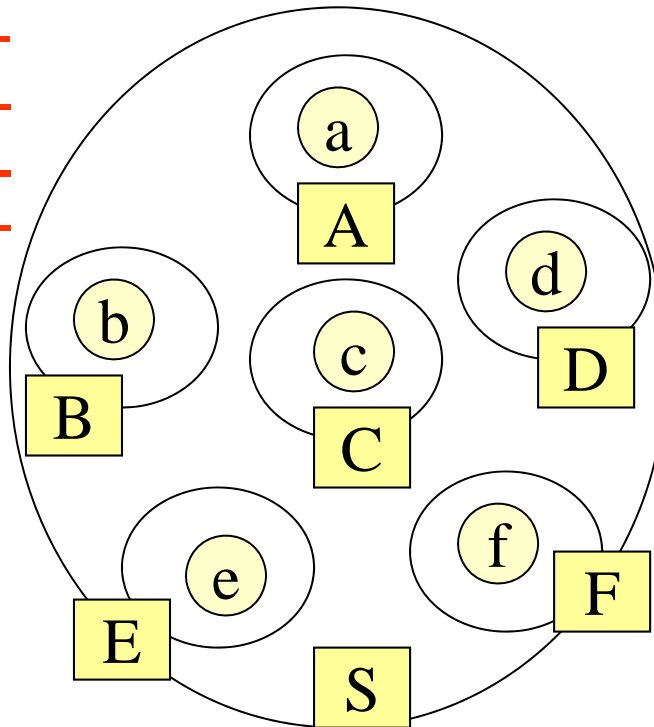
PQ



Kruskal: example

| | | |
|--------------|--------------|--------------|
| a | c | 1 |
| d | f | 2 |
| b | e | 3 |
| c | f | 4 |
| a | d | 5 |
| b | c | 5 |
| c | d | 5 |
| a | b | 6 |
| c | e | 6 |
| e | f | 6 |

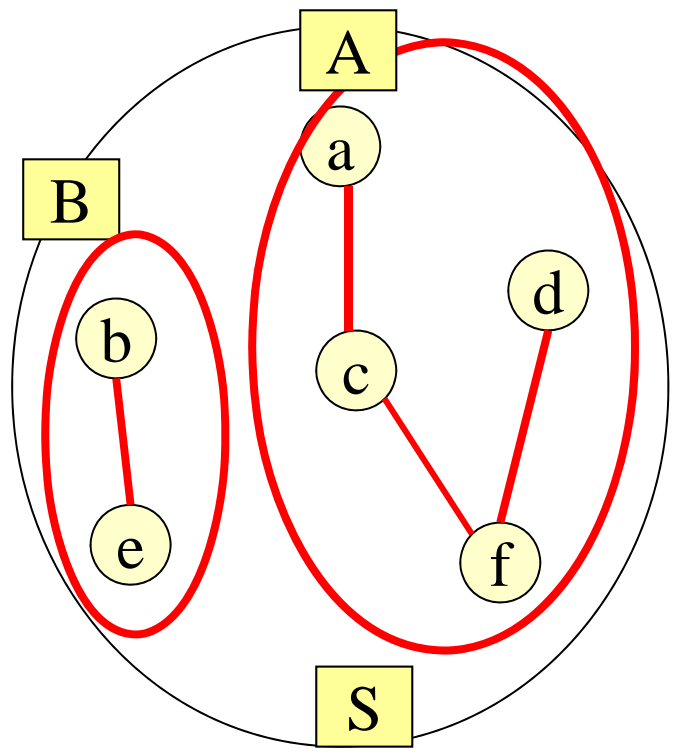
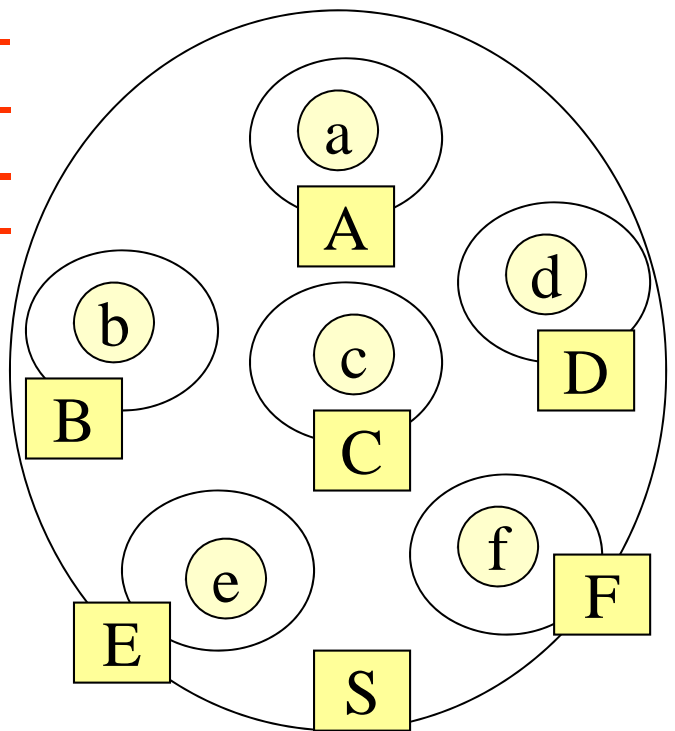
PQ



Kruskal: example

| | | |
|--------------|--------------|--------------|
| a | c | 1 |
| d | f | 2 |
| b | e | 3 |
| c | f | 4 |
| a | d | 5 |
| b | c | 5 |
| c | d | 5 |
| a | b | 6 |
| c | e | 6 |
| e | f | 6 |

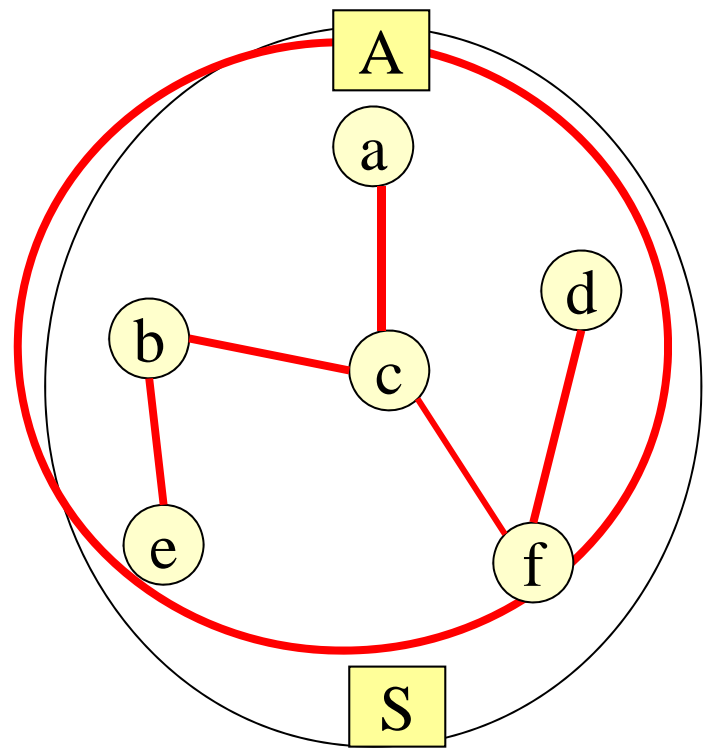
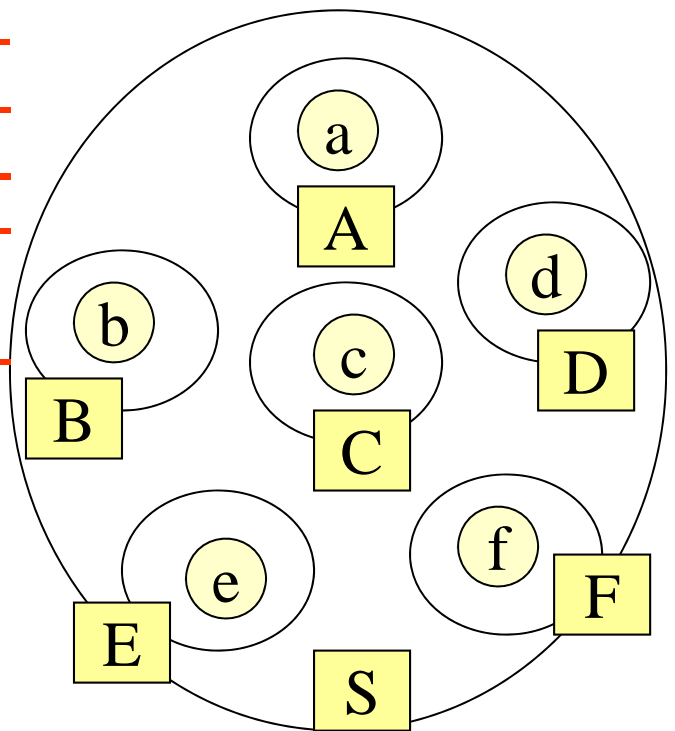
PQ



Kruskal: example

| | | |
|--------------|--------------|--------------|
| a | c | 1 |
| d | f | 2 |
| b | e | 3 |
| c | f | 4 |
| a | d | 5 |
| b | c | 5 |
| c | d | 5 |
| a | b | 6 |
| c | e | 6 |
| e | f | 6 |

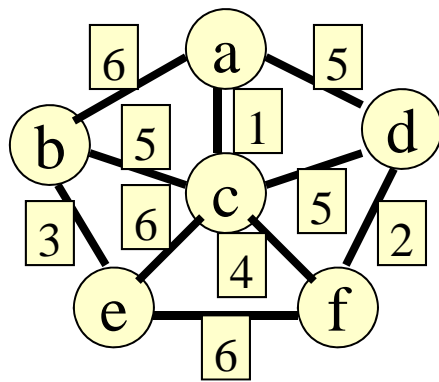
PQ



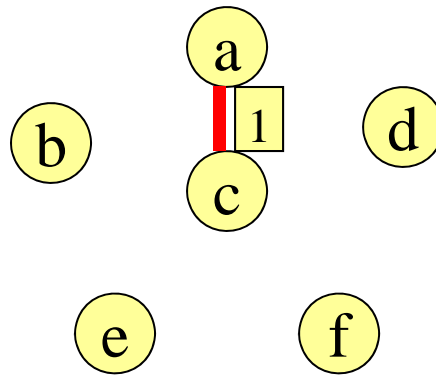
[Kruskal: Comment]

- Using the PQ, the algorithm is reasonably easy to understand in principle (the pictorial representation is easy to follow)
- In general it is worth looking at the problem and its solution before going through any algorithm in detail
- look at each line of the pseudo code and be sure that you can relate the code to the action required i.e. that you can **interpret** the code

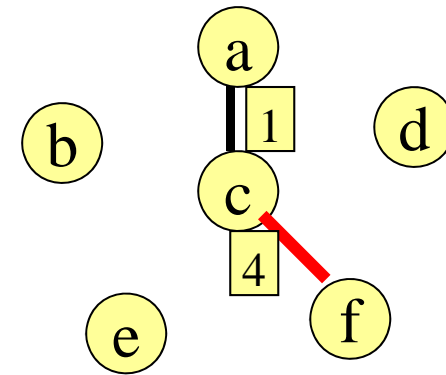
Building an MST: creative guess §2



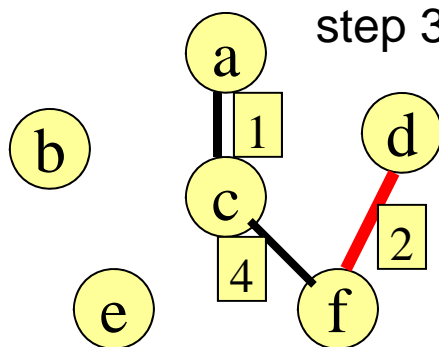
step 1



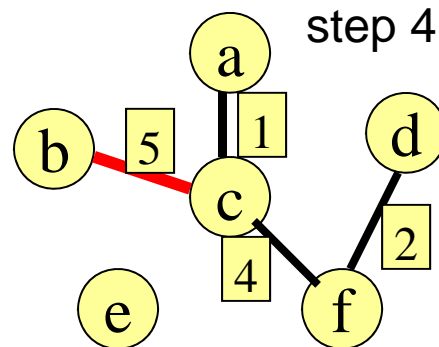
step 2



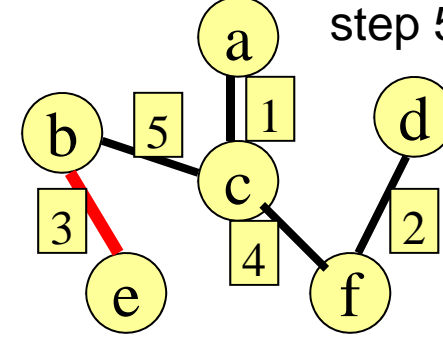
step 3



step 4



step 5



Prim's principles

- given start node x mark as visited;
- note the edge values from x to the remaining nodes; this uses 2 arrays L for the edge lengths and C for the node name;
- find the shortest edge from x to y; mark y as visited;
- build a COMPONENT (x y) i.e. y is then added to the component (i.e. the visited nodes);
- now examine the edge costs from y to the remaining nodes; if this edge is cheaper, replace the current edge with this edge. The new node is added to the component.
- Repeat for the unvisited nodes. The component grows node by node and cheaper edges replace those edges previously found as cheaper.

Prim's principles example

- (a b 6), (a c 1), (a d 5), (b c 5), (b e 3), (c d 5), (c e 6), (c f 4), (d f 2), (e f 6)
- Start node a – visited {a} – **unvisited** {b, c, d, e, f}
- **L = [6, 1, 5, §, §] C = [a, a, a, a, a]**
- Shortest edge **(a c 1)** – visited {a, c} – **unvisited** {b, d, e, f}
- (c b 5) is cheaper → **L = [5, 1, 5, §, §] C = [c, a, a, a, a]**
- (c d 5) not cheaper → no change
- (c e 6) is **cheaper** → **L = [5, 1, 5, 6, §] C = [c, a, a, c, a]**
- (c f 4) is **cheaper** → **L = [5, 1, 5, 6, 4] C = [c, a, a, c, c]**

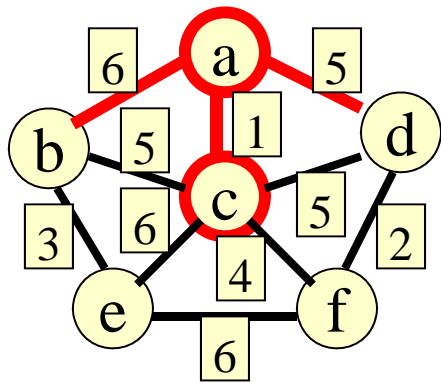
[Prim's principles example]

- (a b 6), (a c 1), (a d 5), (b c 5), (b e 3), (c d 5), (c e 6), (c f 4), (d f 2), (e f 6)
- $L = [5, \underline{1}, 5, 6, \underline{4}]$ $C = [\underline{c}, a, a, \underline{c}, \underline{c}]$
- Shortest edge (c f 4) – visited {a, c, f} – **unvisited** {b, d, e}
- (f b 5) not cheaper → no change
- (f d 2) is **cheaper** → $L = [5, \underline{1}, \underline{2}, 6, \underline{4}]$ $C = [c, a, \underline{f}, c, c]$
- (f e 6) not cheaper → no change
- Shortest edge (f d 2) – visited {a, c, d, f} – **unvisited** {b, e}
- (d b 5) not cheaper → no change
- (d e 5) not cheaper → no change
- $L = [5, \underline{1}, \underline{2}, 6, \underline{4}]$ $C = [c, a, f, c, c]$

[Prim's principles example]

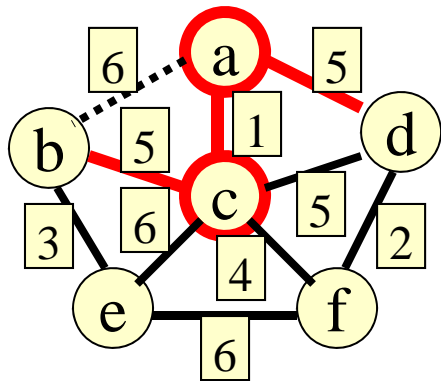
- (a b 6), (a c 1), (a d 5), (b c 5), (b e 3), (c d 5), (c e 6), (c f 4), (d f 2), (e f 6)
- $L = [\underline{5}, \underline{1}, \underline{2}, 6, \underline{4}]$ $C = [c, a, f, c, c]$
- Shortest edge (c b 5) – visited {a, b, c, d, f} – **unvisited {e}**
- (b e 3) is cheaper → $L = [\underline{5}, \underline{1}, \underline{2}, \underline{3}, \underline{4}]$ $C = [c, a, f, \underline{b}, c]$
- Shortest edge (b e 3) – visited {a, b, c, d, e, f} – **unvisited {}** empty – STOP
- Result $L = [\underline{5}, \underline{1}, \underline{2}, \underline{3}, \underline{4}]$ $C = [c, a, f, b, c]$

[Prim's principles - pictures]



$L = [6, \underline{1}, 5, \S, \S]$

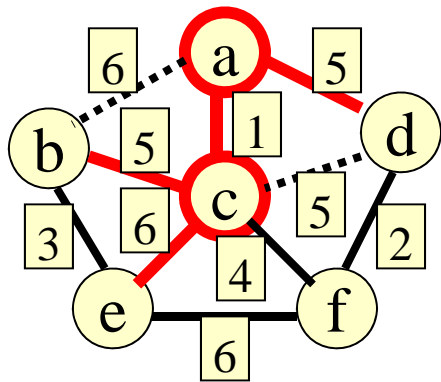
$C = [a, \underline{a}, a, a, a]$



$L = [\underline{5}, \underline{1}, 5, \S, \S]$

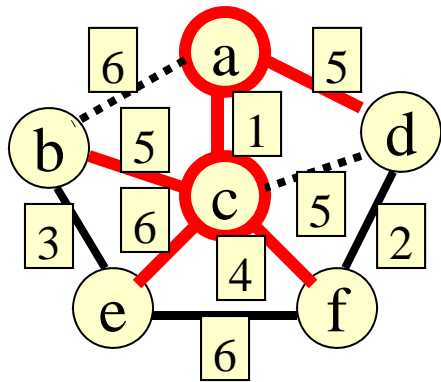
$C = [\underline{c}, \underline{a}, a, a, a]$

[Prim's principles - pictures]



$L = [\underline{5}, \underline{1}, 5, \underline{6}, \underline{5}]$

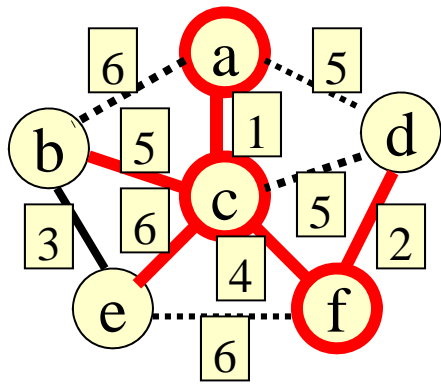
$C = [\underline{c}, \underline{a}, a, \underline{c}, a]$



$L = [\underline{5}, \underline{1}, 5, \underline{6}, \underline{4}]$

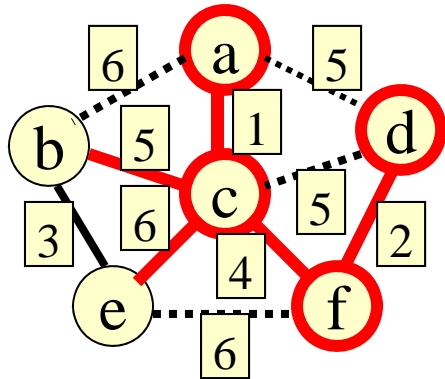
$C = [\underline{c}, \underline{a}, a, \underline{c}, \underline{c}]$

[Prim's principles - pictures]



$L = [\underline{5}, \underline{1}, \underline{2}, \underline{6}, \underline{4}]$

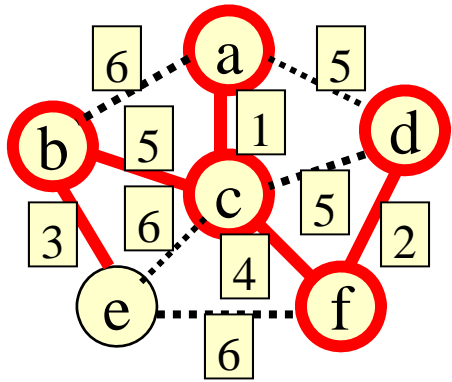
$C = [\underline{c}, \underline{a}, \underline{f}, \underline{c}, \underline{c}]$



$L = [\underline{5}, \underline{1}, \underline{2}, \underline{6}, \underline{4}]$

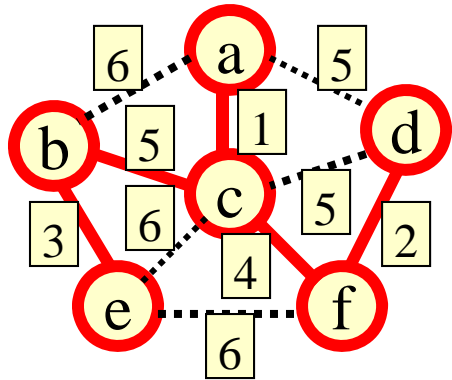
$C = [\underline{c}, \underline{a}, \underline{f}, \underline{c}, \underline{c}]$

[Prim's principles - pictures]



$L = [\underline{5}, \underline{1}, \underline{2}, \underline{3}, \underline{4}]$

$C = [\underline{c}, \underline{a}, \underline{f}, \underline{b}, \underline{c}]$



$L = [\underline{5}, \underline{1}, \underline{2}, \underline{3}, \underline{4}]$

$C = [\underline{c}, \underline{a}, \underline{f}, \underline{b}, \underline{c}]$

[MST – explanation (Prim)]

- Prim's algorithm is a **greedy** algorithm
 - greedy = takes the locally best solution
 - The MST “grows” the MST as one component (similar to Dijkstra)
- Process
 - Choose the **cheapest edge from the component** to an **unvisited node**, add edge to the MST and **mark the node as visited (U)**
 - **Start at node a** – choose the cheapest edge **a c 1** **mark c**
 - Now choose the cheapest edge $U = \{a,c\}$ **c f 4** **mark f**
 - Now choose the cheapest edge $U = \{a,c,f\}$ **f d 2** **mark d**
 - Now choose the cheapest edge $U = \{a,c,f,d\}$ **c b 5** **mark b**
 - Now choose the cheapest edge $U = \{a,c,f,d,b\}$ **b e 5** **mark e**
 - All nodes have now been visited $U = \{a,c,f,d,b,e\}$ **stop.**

Prim's Algorithm (creative guess §2)

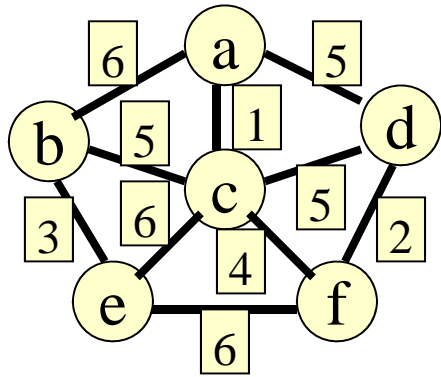
- $V = \{a, b, c, d, \dots\}$
- initialise U to $\{a\}$
- **the spanning tree grows one edge at a time**
- each step:
 - find the shortest **edge** (u, v) that connects U and $V-U$
 - add v to U
 - until $U = V$ i.e. $V-U = \emptyset$
- cost matrix C gives the costs of each edge

Prim's Algorithm

```
Prim ( node v)                                     -- v is the start node
{ U = {v}; for i in (V-U) { low-cost[i] = C[v,i]; closest[i] = v; }
while (!is_empty (V-U) ) {                         -- find the closest vertex in V-U
    i = first(V-U); min = low-cost[i]; k = i; -- minimum cost edge
    for j in (V-U-k) if (low-cost[j] < min) {min = low-cost[j]; k = j; }
    display(k, closest[k]);                         -- display edge
    U = U + k;                                       -- k added to U
    for j in (V-U) if ( C[k,j] < low-cost[j] )      -- readjust costs
        {low-cost[j] = C[k,j]; closest[j] = k; }
}
```

See <http://www.cs.kau.se/cs/education/courses/dvgb03/revision/index.php?PrimEx=1>

[Prim: example]



| | a | b | c | d | e | f |
|---|---|---|---|---|---|---|
| a | § | 6 | 1 | 5 | § | § |
| b | 6 | § | 5 | § | 3 | § |
| c | 1 | 5 | § | 5 | 6 | 4 |
| d | 5 | § | 5 | § | § | 2 |
| e | § | 3 | 6 | § | § | 6 |
| f | § | § | 4 | 2 | 6 | § |

| | |
|---|----------|
| § | infinity |
|---|----------|

[Prim: example]

| Init: U | V-U | low-cost | closest | k / min |
|-----------------|-------------|--|--|---------|
| {a} | {b,c,d,e,f} | (-,6, <u>1</u> , 5, §, §) | (-,a, <u>a</u> ,a,a,a) | c / 1 |
| display ((a,c)) | | | | |
| {a,c} | {b,d,e,f} | (-,5, <u>1</u> , 5, 6, <u>4</u>) | (-,c, <u>a</u> ,a,c, <u>c</u>) | f / 4 |
| display ((c,f)) | | | | |
| {a,c,f} | {b,d,e} | (-,5, <u>1</u> , <u>2</u> , 6, <u>4</u>) | (-,c, <u>a</u> , <u>f</u> ,c, <u>c</u>) | d / 2 |
| display ((f,d)) | | | | |
| {a,c,f,d} | {b,e} | (-, <u>5</u> , <u>1</u> , <u>2</u> , 6, <u>4</u>) | (-, <u>c</u> , <u>a</u> , <u>f</u> ,c, <u>c</u>) | b / 5 |
| display ((c,b)) | | | | |
| {a,c,f,d,b} | {e} | (-, <u>5</u> , <u>1</u> , <u>2</u> , <u>3</u> , <u>4</u>) | (-, <u>c</u> , <u>a</u> , <u>f</u> , <u>b</u> , <u>c</u>) | e / 3 |
| display ((b,e)) | | | | |
| {a,c,f,d,b,e} | { } | (-, <u>5</u> , <u>1</u> , <u>2</u> , <u>3</u> , <u>4</u>) | (-, <u>c</u> , <u>a</u> , <u>f</u> , <u>b</u> , <u>c</u>) | |

See <http://www.cs.kau.se/cs/education/courses/dvgb03/revision/index.php?PrimEx=1>

